



YFW

PATENT  
Attorney Docket No.: COOL-00800

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3753  
Girish Upadhyा et al. ) Examiner:  
Serial No.: 10/698,180 ) **TRANSMITTAL LETTER**  
Filed: October 30, 2003 ) 162 N. Wolfe Road  
For: **OPTIMAL SPREADER SYSTEM,  
DEVICE AND METHOD FOR  
FLUID COOLED MICRO-SCALED  
HEAT EXCHANGE** ) Sunnyvale, CA 94086  
 ) (408) 530-9700  
 ) Customer No. 28960  
 )

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-13-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

## Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR§ 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.  
Date: 3/13/06 By: JL



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Attorney Docket No.: COOL-00800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

## Certification

I hereby certify that the attached five (2) references contained in this supplemental information disclosure statement were cited within a Foreign Office Action mailed on December 12, 2006. Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).



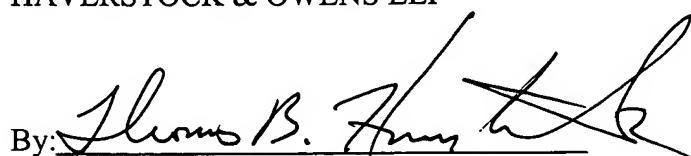
PATENT  
Attorney Docket No.: COOL-00800

### Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-13-06

By:   
Thomas B. Haverstock  
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- 2 -

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Date: 3/13/06 By: 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Girish Upadhyा et al.

Serial No.: 10/698,180

Filed: October 30, 2003

For: **OPTIMAL SPREADER SYSTEM,  
DEVICE AND METHOD FOR  
FLUID COOLED MICRO-SCALED  
HEAT EXCHANGE**



) Group Art Unit: 3753

) Examiner:

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

) 162 N. Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- German Publication No. DE 197 10 716 C 2; and
- PCT Publication No. WO 01/25711 A1.

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Attorney Docket No.: COOL-00800

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: \_\_\_\_\_

By: \_\_\_\_\_

Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP.  
Date: 3/13/06 By: 

FORM PTO-1449 (Modified)			U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: COOL-00800	Serial No.: 10/698,180	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))			Applicants: Girish Upadhyay et al.				
			Filing Date: October 30, 2003		Group Art Unit: 3753		
U.S. PATENT DOCUMENTS							
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	10751515					
	AB						
	AG						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation
	AK	DE 197 10 716 C2	09/24/98	DE	H01S	5/024	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	AL	WO 01/25711 A1	04/12/01	PCT	F28F	3/04	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	AM						
	AN						
	AO						
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						
	AY						
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Examiner:				Date Considered:			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							